

1 **Deflection of a vibrissa leads to a gradient of strain across**
2 **mechanoreceptors in a mystacial follicle**

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49 **Abstract**

50 **Rodents use their vibrissae to detect and discriminate tactile features during**
 51 **active exploration. The site of mechanical transduction in the vibrissa**
 52 **sensorimotor system is the follicle sinus complex and its associated vibrissa. We**
 53 **study the mechanics within the ring sinus of the follicle in an *ex vivo* preparation**
 54 **of the mouse mystacial pad. The sinus region has a relatively dense**
 55 **representation of Merkel mechanoreceptors and longitudinal lanceolate endings.**
 56 **Two-photon laser scanning microscopy was used to visualize labeled cell nuclei**
 57 **in an approximately 100 nL volume before and after passive deflection of a**
 58 **vibrissa, which results in localized displacements of the mechanoreceptor cells**
 59 **primarily in the radial and polar directions about the vibrissa. These**
 60 **displacements are used to compute the strain field across the follicle in response**
 61 **to the deflection. We observe compression in the lower region of the ring sinus**
 62 **while dilation, with lower magnitude, occurs in the upper region, with strain $\Delta V/V$**
 63 **~ 0.01 for a 10° deflection. The extrapolated strain for a 0.1° deflection, the**
 64 **minimum angle that is reported to initiate a spike by primary neurons,**
 65 **corresponds to the minimum strain that activates Piezo2 mechanoreceptor**
 66 **channels.**

67

68

69 **Abbreviations (not to be published)**

70 CS Cavernous sinus
 71 DVN Deep vibrissa nerve
 72 HB Hair bulb
 73 HP Hair papilla
 74 ICB Inner conical body
 75 IM Mystacial intrinsic muscle
 76 IRS Inner root sheath
 77 MDR Merkel cell dense region
 78 MS Mesenchymal sheath
 79 OCB Outer conical body
 80 ORS Outer root sheath
 81 RRC Rete ridge collar
 82 RS Ring sinus
 83 RW Ringwulst
 84 SVN Superficial vibrissa nerve
 85 VS Vibrissa shaft

86 Introduction

87 Rodents have set of long flexible hairs, known as macrovibrissae or whiskers, that are
88 arranged as Manhattan-style grids on both sides of their face. The vibrissae serve to
89 detect and potentially recognize objects near the face of the animal. Each vibrissa is
90 held in a follicle-sinus complex and, during contact, the actively applied forces cause the
91 vibrissa shaft to bend (Hires et al., 2013; Quist and Hartmann, 2012). The change in
92 curvature and the obstruction of a vibrissa from its intended angular path are invariant
93 with respect to the latitudinal location of objects (Bagdasarian et al., 2013; O'Connor et
94 al., 2010). The vibrissa-follicle junction is rigid *in vivo* (Bagdasarian et al., 2013), so all
95 mechanical signals are transduced into neuronal signals within the follicle and, to a
96 lesser extent, the surrounding skin (Ebara et al., 2002; Rice et al., 1986; Rice and
97 Munger, 1986). A minimum requirement to decipher the exquisitely sensitive relation
98 between mechanical forces and outputs from primary sensory neurons (Jones et al.,
99 2004) is a model of mechano-electrical transduction within the follicle (Mitchinson et al.,
100 2004).

101 Transduction of forces into electrical signals is initiated by Merkel cells, which are
102 associated with slowly adapting A β afferents (Abraira and Ginty, 2013; Li et al., 2011;
103 Woodbury and Koerber, 2007). Functionally, these afferents encode deflection
104 amplitude and velocity, respond selectively to the direction of deflection (Lichtenstein et
105 al., 1990; Shoykhet et al., 2000), and play an essential role during active touch (Szwed
106 et al., 2003). The functional responses are likely to be molded by the geometry of
107 Merkel cell dense regions and the orientation of afferent endings within the follicle
108 (Ebara et al., 2002; Ikeda and Gu, 2014; Johnson, 2001; Mitchinson et al., 2008;
109 Mitchinson et al., 2004; Rice et al., 1986). Here, we directly measure deformation within
110 the Merkel cell dense region of the follicle that results from passive vibrissae
111 displacements in an *ex vivo* preparation. The deformation is used to compute the
112 volumetric strain, which provides the scale between motion of the vibrissa and
113 distortions of the Merkel dense region of the follicle that can activate mechanosensitive
114 ion channels.

115

116 **Methods**

117 Analysis of seven follicles extracted from seven different mice are reported here, of which six
118 were extracted from left side mystacial pads and one from the right side. Another twenty-one
119 follicles were used for establishing micro-dissection and imaging procedures, or were not further
120 analyzed as a result of tissue damage or imaging artifacts. Animal care and treatment
121 conformed to the National Institutes of Health Guidelines and were approved by the Institutional
122 Animal Care and Use Committee at the University of California, San Diego.

123 *Follicle extraction*

124 Adult C57BL/6 mice were euthanized by intraperitoneal (IP) injection of 0.1 to 0.2 mL of
125 pentobarbital (Fatal Plus), immediately followed by removal of both mystacial pads. The pads
126 were then further dissected in cold carbogen-infused artificial cerebrospinal fluid (aCSF)
127 (Kleinfeld and Delaney, 1996). A single row of follicles, typically the left C-row, was extracted
128 and then pinned, dorsal side up, on both ends onto a silicone base in an aCSF filled petri dish
129 (**Fig. 1d**). Muscle and other tissue was removed dorsal to a single follicle, typically C1, and an
130 area $\sim 1 \times 1 \text{ mm}^2$ was exposed at the level of the ring sinus (**Fig. 1e**). Extreme care was
131 exercised to avoid damage to the internal mesenchymal and root sheaths (**Fig. 1f, g**). Blood in
132 the ring sinus was washed out and replaced by aCSF. Regions medial, *i.e.*, cavernous sinus,
133 and lateral, *i.e.*, conical bodies, were not exposed. Throughout the experiment, fresh aCSF at
134 room temperature and bubbled with 95 % O₂ and 5 % CO₂ was constantly perfused into the
135 imaging dish and across the row of follicles at a rate of 0.03 mL/s.

136 *Histological labeling of mechanoreceptors*

137 We examined the distribution of mechanoreceptor types in transgenic mouse that express
138 fluorescent proteins in sensory nerve endings in order to compare the gross features of the
139 mouse follicle with those of other species (Ebara et al., 2002; Rice et al., 1986). *Advillin*^{Cre/+}
140 knockin mice were crossed with red fluorescent protein reporter mice (Ai14) (Madisen et al.,
141 2010) to generate a mouse line that selectively labeled Merkel cells. These are located in the
142 outer root sheath of the follicle at the level of the ring sinus (da Silva et al., 2011). Additionally,
143 we examined the endings that terminate on Merkel cells, as well as lanceolate endings, that
144 terminate in the mesenchymal sheath that is located around the perimeter of the follicle within
145 mice that expressed XFP; expression was incidental to expression of the calcium sensor TN-
146 XXL under the Thy1 promoter (Mank et al., 2008).

147 Mice were deeply anesthetized with inhalation of 3 to 4 % (v/v) isoflurane in O₂, followed

148 by intraperitoneal injection of 100 to 200 μ L pentobarbital (Fatal Plus), transcardially perfused
149 with phosphate-buffered saline followed by fixation in 4 % (w/v) paraformaldehyde in phosphate-
150 buffered saline (PBS) (P3813; Sigma) pH 7.4. After removal from the skull and at least three
151 hours of additional fixation, the mystacial pads were cryoprotected in 30 % (w/v) sucrose in
152 PBS, cut in 60 μ m sections on a freezing microtome, and then counterstained with the blue
153 fluorescent nuclear dye DAPI (1:1000; D9542; Sigma-Aldrich).

154 *Large-scale deformation of a vibrissa*

155 In a set of experiments to evaluate vibrissa flexion, a separate set of adult C57BL/6 mice
156 were perfused while all vibrissae on the excised pad were statically deflected in the rostral or
157 caudal directions. These excised follicles were stained with the fluorescently-tagged lipophilic
158 dye, 5-hexadecanoylamino-fluorescein, which labels cell membranes (H-110; Invitrogen).
159 Confocal imaging was performed on an Olympus FV1000 confocal microscope and a Leica SP5
160 upright microscope, using 20X magnification, 100X oil immersion, and 63X glycerol immersion
161 objectives. Images were converted and leveled with the Fiji image processing software.

162 *Two-photon imaging*

163 Cell nuclei throughout the dissected tissue were labeled with the blue fluorescent dye DAPI
164 during micro-dissection and transferred to a two-photon laser scanning microscope (TPLSM) for
165 fluorescence imaging at an excitation wavelength of 800 nm. The microscope objective was
166 positioned over the micro-dissected window, which included a region of the ring sinus that
167 extended from the level of the ringwulst out to the medial-aspect of the inner conical body
168 (**Fig. 1e**). For each experimental vibrissae deflection, we scanned a 512 \times 512 \times 180 pixel Z-stack
169 at a resolution of 1 μ m/pixel in X and Y and 1.875 μ m/pixel in Z, for a 512 \times 512 \times 338 μ m³ \sim 90 nL
170 volume. Each image stack required \sim 10 minutes of acquisition time. A single experiment
171 included 6 to 24 image stacks.

172 *Vibrissa deflections*

173 The vibrissa emerging from the micro-dissected follicle was cut to 30 % of its original length and
174 inserted 100 μ m into a glass pipette that was coupled to a micrometer-resolution manipulator
175 (**Fig. 1d**) (MPC- 200; Sutter Instrument). The average distance of the glass pipette mouth to the
176 vibrissa-follicle junction was 7 ± 2 mm, a distance at which the vibrissa is rigid and thus the axial
177 force during deflections minimal (Quist and Hartmann, 2012). The vibrissa was deflected a
178 distance corresponding to either 10° or 20° of angle at the base, in either the rostral (forward) or
179 caudal (backward) direction. The vibrissa remained in the deflected position while a two-photon

180 image stack was acquired. Each deflection was followed by a return to the rest angle, which
181 was also imaged for comparison and calculation of relative displacements. Each deflection
182 condition was repeated 3 to 12 times on a single follicle.

183 *Data analysis*

184 Relative displacements of DAPI labeled cell nuclei were estimated by computing rigid follicle
185 movements from TPLSM image stacks with the vibrissa in reference and deflected positions,
186 and then performing particle image velocimetry between the pairs of aligned and transformed
187 image stacks. All data and statistical analysis was performed with MATLAB (MathWorks), and
188 utilized computational resources at the San Diego Supercomputer Center.

189 Unless otherwise stated, averages refer to arithmetic means and tests for significance
190 were performed using two-sample T-tests. Comparisons between displacement fields as a
191 function of follicle location and deflection direction, and interactions thereof, were evaluated by
192 one- or two-way repeated measures ANOVA.

193 **Results**

194 **Tissue labeling and mechanoreceptor distribution**

195 Individual follicles are innervated by two sets of nerve (**Fig. 1**). With reference to
196 visualization of the follicle from an *Advillin^{Cre/+}* mouse line crossed with a RFP reporter
197 (**Fig. 1a,b**), and in agreement with prior studies (Ebara et al., 2002; Rice et al., 1986;
198 Sakurai et al., 2013), a single, large deep vibrissa nerve (DVN) innervates Merkel cells
199 that are located in the outer root sheath (ORS). The nerve attaches to these cells at the
200 level of the ring sinus (RS) between the ringwulst (RW) and the inner conical bodies
201 (ICBs). The Merkel dense region (MDR) and the ringwulst below it are the focus of this
202 study. The afferent attachments to the Merkel cells as well as longitudinal lanceolate
203 endings are preferentially labeled in Thy1-TN-XXL mice (**Fig. 1c**). The deep vibrissa
204 nerve further innervates the mesenchymal sheath (MS), to which the ringwulst is
205 attached, with club-like endings and innervates the cavernous sinus (CS) as free-nerve
206 endings (Ebara et al., 2002; Sakurai et al., 2013). In contrast to the deep nerve, small
207 superficial vibrissa nerves innervate Merkel cells at the rete ridge collar (RRC) and the
208 inner and outer conical bodies (OCBs) and attach as both lanceolate and free-nerve
209 endings.

210 The structure of the Merkel dense region was investigated in a whole-mount with
211 part of the outer connective tissue sheath of the follicle micro-dissected (**Fig. 1d**) and all
212 nuclei stained with DAPI (**Fig. 1e**). Longitudinal and radial cross sections revealed four
213 distinct layers of labeled tissue (**Fig. 1f,g**). An outer ring, 10 to 20 μm thick and
214 contiguous with the ringwulst, was identified as the mesenchymal sheath. An unlabeled
215 10 μm thick ring, identified as the glassy membrane, separated the mesenchymal from
216 the outer root sheath. We identified two DAPI labeled cylindrical shells within the outer
217 root sheath with different thicknesses and nuclear densities. The external cylinder,
218 which we will refer to as ORS_e , was 10 to 15 μm and contained the elongated cell nuclei
219 of putative Merkel cells. The internal cylinder, referred to as ORS_i , was $\sim 10 \mu\text{m}$ thick
220 and was sparsely populated by cell nuclei of an unknown type. The internal root sheath
221 (IRS), which surrounds the vibrissa shaft, was unlabeled. Thus, we conclude that DAPI
222 labeling was restricted to cylindrical layers that are known to be innervated by deep
223 vibrissa nerve afferents (Ebara et al., 2002; Rice et al., 1986). The restricted and bright
224 labeling of nuclei within the Merkel dense region by DAPI, as opposed to genetic
225 labeling of the cytoplasm throughout the Merkel cells (**cf panels b and e in Fig. 1**),
226 suggests that the former labeling is a better choice for our analysis of displacement
227 fields.

228 **Internal vibrissa shaft deformations**

229 The vibrissa-follicle junction is reported to be rigid during whisking against an object
230 (Bagdasarian et al., 2013) and the vibrissa shaft is reported to flex within the follicle
231 during passive vibrissa deflection (Ebara et al., 2002; Wrobel, 1965). We confirmed both
232 of these observations in follicles fixed with preservative *in vivo* while all vibrissae were
233 deflected in either the rostral or caudal directions (**Fig. 1h,i**). We observe that the
234 superficial internal segment of the vibrissa shaft, which extends from the rete ridge
235 collar down to the ringwulst, is indeed rigid, and that the deep segment, which extends
236 below the level of the ring sinus, bends during rostral but not caudal deflections. These
237 observations are consistent with the report by Ebara et al. (Ebara et al., 2002) that “*the*
238 *follicle is soft at the lower level of the cavernous sinus and gradually becomes more*
239 *rigid toward and through the level of the ring sinus*”. In the present study it is of
240 relevance that the shaft of the vibrissa remains straight across the Merkel dense region

241 (Fig. 1i).

242 **Relative displacements during static vibrissa deflection**

243 Freshly dissected follicles, with a window cut through the outer capsule wall, were
 244 stained with DAPI and pinned so that TPLSM image stacks of DAPI fluorescence could
 245 be acquired during rest and with 10° and 20° deflections of the vibrissa in both the
 246 caudal and rostral directions (Fig. 1d). We alternated the acquisition of data between
 247 the rest position and a given deflection (Fig. 2a). We assumed that the total
 248 transformation describing the motion of the follicle in response to a deflection of the
 249 vibrissa is the sum of a rigid body transformation and localized deformations.

250 Automated cell tracking was used to locate the centroids of labeled nuclei
 251 (Fig. 2b). Approximately 150 corresponding nuclei per image stack, evenly distributed
 252 throughout the field of view, were manually matched across reference and deflection
 253 image stacks for each cell. The nuclei in the deflected stack, with position vectors \mathbf{x}' ,
 254 were optimally aligned to the corresponding nuclei in the reference stack, with position
 255 vectors \mathbf{x} , by adjusting three translational and three rotational degrees of freedom in a
 256 rigid transformation (Fig. 2c). Formally, $\mathbf{x}' = \Delta\mathbf{x} + \mathbf{R}(\theta, \varphi, \zeta) \mathbf{x}$, where $\Delta\mathbf{x}$ is the translation
 257 vector and \mathbf{R} is the rotation matrix that is parameterized by the Tait-Bryan angles θ , φ ,

258 and ζ with

$$\mathbf{R} = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\varphi) & -\sin(\varphi) \\ 0 & \sin(\varphi) & \cos(\varphi) \end{bmatrix} \begin{bmatrix} \cos(\zeta) & 0 & -\sin(\zeta) \\ 0 & 1 & 0 \\ -\sin(\zeta) & 0 & \cos(\zeta) \end{bmatrix}.$$

Larger

259 deflections consistently result in larger rigid transformations and, as a control, re-
 260 alignment of paired stacks of reference images produced qualitatively high overlap
 261 between the corresponding cells.

262 The difference between the reference stack and the optimally realigned deflection
 263 stack defines the local displacement of the tissue caused by deflection of the vibrissa.
 264 We determined the displacement vectors with particle image velocimetry calculated with
 265 the use of $25 \times 25 \times 25 \mu\text{m}^3$ voxels that typically contained three or more reference cell
 266 nuclei. The spatial lags of the cross-correlation between the reference and aligned
 267 deflected data sets were computed continuously for each image pixel at location (x, y, z) .
 268 Each cross-correlation typically contained a single, local peak whose offset from the

269 origin corresponded to the displacement vector field $\mathbf{u}(x,y,z)$ (inset **Fig. 2c**). The
 270 displacement vectors are the essential result of the analysis. For the data of
 271 **Figure 2b,c**, the root-mean-square length of the displacement vectors was $4.4 \pm 2.5 \mu\text{m}$
 272 (mean \pm SD) (**Fig. 2d**).

273 The displacement vectors were conditioned prior to further analysis. First, vectors
 274 with magnitudes greater than three standard deviations above the mean, *i.e.*, $\sim 12 \mu\text{m}$
 275 for the data of **Figure 2b-d**, were considered outliers and removed. Second, the field
 276 formed by the displacement vectors was slightly smoothed with a Gaussian filter with
 277 $\sigma = 15 \mu\text{m}$; voxels without a cell nucleus or otherwise incomplete data were not
 278 interpolated. Lastly, we fitted a cylindrical annulus that was aligned to the principal axis
 279 of the vibrissa shaft (**Fig. 2e**) to extract only the relevant tissue that, further, may be
 280 mapped onto a plane for improved visualization. A $95 \pm 5 \mu\text{m}$ thick region, that
 281 exclusively encompassed the outer root sheath, the mesenchymal sheath, and the
 282 glassy membrane parts of the ringwulst, was extracted for further analysis (**Fig. 1f**). The
 283 displacement fields were transformed from their Cartesian coordinates into cylindrical
 284 coordinates as radial projections (**Fig. 2e**). The radial distance, r , is the perpendicular
 285 distance from the principal axis and the radial displacement, Δr , is the change in r after
 286 deflection of the vibrissa (**Fig. 2f,g**). The polar angle, α , is the offset from the vertical
 287 axis such that $-\pi/2$ and $\pi/2$ indicate the caudal and rostral aspects of the follicle,
 288 respectively, and the polar displacement, $\Delta\alpha$, is the change in angular offset after
 289 deflection. The longitudinal coordinate, l , is the location along the axis and the
 290 longitudinal displacement, Δl , is the change in this coordinate after deflection.

291 Example displacement fields computed from images of the follicle at rest and
 292 during a 10° caudal deflection are shown in **Figure 2g**. The upper and lower halves of
 293 the displacement fields correspond approximately to the Merkel cell dense and ringwulst
 294 regions, respectively (**Fig. 2f**). Three main characteristics were observed. First, tissue
 295 was radially displaced outwards and inwards along the caudal and dorsal aspects of the
 296 follicle, respectively, suggesting a relative flattening of the follicle (**left, Fig. 2g**). Second,
 297 the tissue underwent a relative counter rotation in the Merkel dense and ringwulst
 298 regions of the mesenchymal and outer root sheaths (**middle, Fig. 2g**). Lastly, the
 299 ringwulst and Merkel dense regions differed in the direction of longitudinal

300 displacement, such that the deeper ringwulst tissue was displaced laterally outward
 301 towards the skin while the more superficial Merkel dense region was shifted medially
 302 inward away from the skin (**right, Fig. 2g**). Individual trials within a single experiment
 303 were highly repeatable, as shown by the standard error compared to displacement
 304 magnitudes (**insets Fig. 2g**).

305 Displacement maps for each condition of deflection and amplitude were aligned
 306 and averaged across experiments. Image stacks acquired with right-side follicles were
 307 mirrored prior to averaging. As there are no sharp boundaries to delineate regions along
 308 the principal axis of the follicle, seven naive observers manually aligned the data sets by
 309 matching pairs of DAPI fluorescence images. Alignments were in agreement across
 310 observers, and the optimal offset d_i for each image to a reference image was found by
 311 minimizing the sum of squares across U users and N images, *i.e.*,
 312
$$\min_{1 \leq i \leq j \leq N} \sum_U (\mathbf{O}_{ijU} - (d_j - d_i))^2$$
, where \mathbf{O}_{ijU} is the alignment for one pair of images from
 313 one observer.

314 *Radial tissue displacements*

315 The tissue displaced outwards along the caudal edge of the follicle during caudal
 316 deflections and outwards towards the rostral edge during rostral deflections. Thus,
 317 radial displacements in the ring sinus region follow the direction of vibrissa deflection at
 318 a ratio of $\sim 0.3 \mu\text{m}$ per degree (**left column Fig. 3**); these effects are significant at the
 319 location of the ringwulst, *i.e.*, $F(1,36) = 8.3$ ($p = 0.007$), and the Merkel dense region,
 320 *i.e.*, $F(1,36) = 34.5$ ($p < 0.001$). Additionally, we observed inward radial displacements
 321 on the order of $0.1 \mu\text{m}$ per degree along the dorsal edge of the follicle that were
 322 invariant of deflection direction.

323 *Polar tissue displacements*

324 Polar displacements in the Merkel dense region had opposite sign in the dorsocaudal
 325 and dorsorostral quadrants, with $F(1,36) = 15.0$ ($p < 0.001$), independent of the direction
 326 of vibrissa deflection. Displacements in the ringwulst region, however, were statistically
 327 different during caudal and rostral deflections, with $F(1,36) = 17.0$ ($p < 0.001$), but not as
 328 a function of location. Thus, mesenchymal and outer root sheath tissue rotate about the

329 axis of the vibrissa in the direction of vibrissa deflection at a ratio of $\sim 0.17^\circ$ per degree
 330 of vibrissa deflection, where the direction of rotation in the ringwulst is dependent on
 331 deflection direction (**middle column, Fig. 3**).

332 *Longitudinal tissue displacements*

333 Longitudinal displacements in the Merkel dense region were invariably in the
 334 medial direction, *i.e.*, inwards, regardless of vibrissa deflection direction and amplitude.
 335 In the ringwulst region, the direction of longitudinal displacement differed between
 336 directions of the deflection, with $F(1,36) = 5.74$ ($p = 0.022$), but the displacement was
 337 not significantly different between the dorsocaudal and dorsorostral quadrants. Thus,
 338 the Merkel dense region undergoes inward longitudinal displacement during vibrissa
 339 deflection that is invariant of direction, while the ringwulst region undergoes directional
 340 selective longitudinal displacements. These displacements are on the order of $\sim 0.3 \mu\text{m}$
 341 per degree (**right column Fig. 3**).

342 **Strains during vibrissa deflection**

343 The displacements in the follicle that we observed were coherent over length scales
 344 much larger than that of single cells (**Fig. 3**). Thus, sites of mechanotransduction during
 345 vibrissa deflection cannot be inferred from displacement measurements alone. As a
 346 means of estimating local volumetric deformations, we calculated the volumetric strain
 347 field, which is a scalar quantity measuring uniform dilation or compression at a point in
 348 space, from the measured displacements. The volumetric strain field is found by
 349 computing the spatial derivatives of the displacement vector field that contribute to the
 350 fractional change in volume (Landau and Lifshitz, 1959), *i.e.*, $\Delta V/V = \partial \mathbf{u}_1(x,y,z)/\partial x_1 +$
 351 $\partial \mathbf{u}_2(x,y,z)/\partial x_2 + \partial \mathbf{u}_3(x,y,z)/\partial x_3$, where the index labels the direction of the vector at each
 352 point (x,y,z) .

353 As a means to minimize inelastic deformations of the follicle from repeated
 354 vibrissa deflections, we computed strain fields from control trials in which displacements
 355 were calculated across two image stacks with the vibrissa in the rest position taken
 356 before and after a vibrissa deflection. This control strain field was then subtracted from
 357 each strain field computed from displacement maps that compared a follicle in its rest
 358 and deflected positions. We then averaged computed strain fields across all follicles,

359 grouped by vibrissa deflection direction and amplitude as in the case of the underlying
360 displacement fields (**Fig. 3**). Standard errors were typically on the order of the variations
361 across the strain field for a single follicle, and were generally larger along the caudal
362 and rostral edges of the follicle since fewer features were available for the strain
363 computation. We focus on the data sets with a 10° deflection of the vibrissa as these
364 consistently showed less variability (**insets, Fig. 3**).

365 Strain in the ringwulst region was predominantly negative, indicating
366 compression, and ranged between 0.005 to 0.03 on average in magnitude for a 10°
367 deflection. In contrast, strain in the Merkel denser region varied from negative to
368 positive (**Fig. 4a,b**). We averaged and compared strain across the four quadrants of the
369 dorsal half of the follicle, which approximately correspond to the rostral (R^{RW}) and
370 caudal ringwulst (C^{RW}), and the rostral (R^{MDR}) and caudal (C^{MDR}) Merkel dense region
371 (**Fig. 4a**). We found no statistically significant difference in strain between quadrants
372 within or across deflection conditions. We found, however, a statistically significant
373 interaction among mean strains from diagonal quadrants, with $F(1,20) = 6.2$ ($p = 0.022$),
374 which implies correlations in the variability across quadrants. This interaction is
375 interpreted as a preferential gradient of strain with a magnitude of $\sim 0.02 \Delta V/V$ across
376 the ring sinus region that shifts in orientation between deflection direction (**Fig. 4c**).
377 During caudal deflections, tissue compressed in the rostral ringwulst region (R^{RW}) and
378 dilated in the caudal MDR (C^{MDR}) (**left Fig. 4b**). During rostral deflections, tissue
379 compressed in the caudal ringwulst region (C^{RW}) and dilated in the rostral MDR (R^{MDR})
380 (**right Fig. 4b**). Similar results were observed for a 20° deflection. This leads to the crux
381 result, *i.e.*, the direction of vibrissa deflection is encoded mechanically in the follicle by a
382 longitudinally diagonal rostrocaudal gradient of strain.

383 Discussion

384 We analyzed tissue displacements in cylindrical coordinates and found that the
385 tissue is displaced differentially in the radial, polar and longitudinal directions during
386 vibrissa deflections (**Fig. 2e**). Specifically, we find that cells rotate about the axis of the
387 vibrissa shaft and are displaced radially in the direction of deflection (**Fig. 3**).
388 Furthermore, longitudinal displacements within the ringwulst region reverse between

389 caudal and rostral vibrissa deflections. Additionally, we observed significant direction
390 invariant displacements (**Fig. 3**). As mechanoreceptors may not respond to tissue
391 displacements, we computed volumetric strain as a measure of tissue deformation and
392 thus an indirect predictor of mechanoreceptor activation. We find that vibrissa deflection
393 leads to a gradient of strain across the Merkel dense and ringwulst regions, and that the
394 orientation of this gradient rotates when deflection direction changes (**Fig. 4**). Rice and
395 Munger (Rice and Munger, 1986) hypothesized that as a deflected vibrissa pivots about
396 a fulcrum close to the skin, and moves in the opposite direction in the ring sinus, the
397 mesenchymal sheath and attached lanceolate endings are compressed on the leading
398 edge and stretched elsewhere. Our observations are consistent with this prediction, as
399 we find that during a caudal deflection the tissue compresses in the rostral (leading)
400 segment of the ringwulst and dilates in the caudal region close to the inner conical body.
401 During a rostral deflection, this diagonal gradient is mirrored.

402 The differential strain that we observe should exert different displacement
403 patterns within the domain of the Merkel endings that originate from the axonal terminal
404 field of a single neuron. It is of interest that a given A β fiber terminates on multiple,
405 neighboring Merkel cells that span only a fraction of the follicle, with different fibers
406 labeling different parts of the follicle. In contrast, A β fiber innervation exhibits a much
407 broader pattern in the vibrissae of the cat, which does not whisk (Ebara et al., 2002). In
408 general, the amalgam of past anatomical data and the present results suggests that
409 each of the myriad of directions and amplitudes of motion of the vibrissa that occur
410 when a rodent sweeps it's vibrissae across objects is encoded as a particular pattern of
411 afferent input.

412 **Methodological considerations**

413 We labeled cells in the follicle-sinus complex with the fluorescent nuclear stain
414 DAPI. Nerves were therefore not labeled and labeling did not distinguish between
415 different mechanoreceptor types. While this precludes direct measurements of
416 mechanoreceptor deformation, we find that displacement and strain fields were
417 coherent on spatial scales larger than individual cells (**Figs. 3 and 4**). Applied
418 mechanical pressure can be sensed by Merkel cells through layers of intervening cells

419 (Ikeda and Gu, 2014). Thus, we assume that tissue deformations observed on the
420 spatial scale of tens of microns reflect the stresses experienced by individual
421 mechanoreceptors.

422 Head and body movements may substitute for vibrissa movements when
423 scanning surfaces (Krupa et al., 2001). Further, rats can make amplitude and velocity
424 discriminations during passive vibrissa stimulation (Fassihi et al., 2014; Stüttgen et al.,
425 2006). Thus, vibrissa deflection without an active muscular contribution is a feature of
426 normal sensory experience. During whisking, the vibrissae are actively moved and
427 pushed against surfaces by the contractile actions of facial muscles (Hill et al., 2008).
428 Tissue mechanics and internal deformations of the follicle may therefore be very
429 different during active touch as compared to passive vibrissa deflection, as employed
430 here.

431 **Relationship between strain measurements and mechanosensitivity**

432 Mechanosensitivity of the Merkel-neurite complex and lanceolate endings in hairy skin
433 is mediated by the Piezo2 mechanosensitive cation channel (Coste et al., 2010; Lou et
434 al., 2013). Merkel cells in the rat follicle-sinus complex have recently been shown to
435 actively transduce movements of the vibrissae via Piezo2, assumed to be located on
436 Merkel cell processes, and drive A β afferents via Ca²⁺-based action potentials and the
437 presumptive release of an, as of yet, unidentified neurotransmitter (Ikeda et al., 2014;
438 Ikeda and Gu, 2014; Maksimovic et al., 2014). Mechanically activated currents have
439 been measured in Piezo2 expressing cultured dorsal root ganglion neurons (Coste et
440 al., 2010) during cell membrane displacements down to 10 nm (Poole et al., 2014). As
441 an order-of magnitude estimate of the associated volumetric strain, we take the radial
442 cross-section of ganglion neuron processes to be 2 μ m, for which a 10 nm membrane
443 deflection yields $\Delta V/V \approx \Delta L/L \approx 5 \times 10^{-4}$. We observe strain with magnitudes in the range
444 of 0.02 to 0.05 during 10° angular vibrissa deflections (**Fig. 4b,c**). The minimum
445 deflection for an electrophysiological response in trigeminal fibers is stated to be 0.1°
446 (Gibson and Welker, 1983), which by linear extrapolation is a strain with magnitude in
447 the range of 2×10^{-4} to 5×10^{-4} . Thus the sensitivity for vibrissa touch in mouse is
448 consistent with the threshold to activate Piezo2 mediated membrane currents in Merkel
449 cell afferents.

450

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460

461 Conflicts of Interest

462 None

463

464 Contributions

465 All authors planned the experiments. The data was obtained by DWM and SJW, analyzed by
466 SJW, and the manuscript was written by DK, PMK and SJW. In addition, DK dealt with the
467 myriad of university rules and forms that govern environmental health and safety, hazard
468 control, and the use of animals, chemicals, controlled substances, hazardous substances, and
469 lasers, as well as protocols through the institutional animal care and use committee and
470 directives on ethical conduct in the workplace.

471

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566 **Figure legends**

567

568 **Figure 1. Mouse follicle-sinus complex anatomy and mechanoreceptor distribution. a.**

569 Anatomical features of the mouse follicle. Merkel cells were selectively labeled with RFP in

570 *Advillin^{Cre/+}* knockin mice, and 100 μ m thick serial sections imaged on a light microscope.

571 Annotations: Rete ridge collar, RRC; outer conical body, OCB; inner conical body, ICB; Merkel

572 cell dense region, MDR; mesenchymal sheath, MS; ring sinus, RS; ringwulst, RW; deep

573 vibrissal nerve, DVN; intrinsic muscle, IM; cavernous sinus, CS; vibrissa shaft, VS; hair bulb,

574 HB; hair papilla, HP. Scale bar is 500 μ m. **b.** Maximum projection of a confocal image stack575 through the MDR of an *Advillin^{Cre/+}* knockin mouse crossed with an RFP reporter mouse. The

576 Merkel cells are located at the level of the RS between the ringwulst and the ICB. Scale bar is

577 100 μ m. Inset shows a magnified view of a single confocal layer close to the edge of the ring

578 sinus, demonstrating that Merkel cells are located in the outer root sheath (ORS). Glassy

579 membrane (unlabeled) is located between the ORS and the mesenchymal sheath (MS). Scale

580 bar is 10 μ m. **c.** Maximum projection of a confocal image stack of the RS region in a Thy1-TN-

581 XXL transgenic mouse with labeled Merkel and lanceolate ending afferents. Scale bar is

582 100 μ m. **d.** Schematic of a micro-dissected follicle row pinned to a silicon base immersed in

583 aCSF for two-photon imaging. The imaged follicle was suspended above a gap in the silicone

584 base to minimize friction during vibrissa deflection (arrow). **e.** Maximum projection of a TPLSM

585 acquired image stack of a DAPI labeled vibrissa follicle. A window was opened in the vibrissa

586 capsule above the dorsal aspect of the RS to expose the region between the RW and the ICB.

587 Scale bar is 100 μ m. Inset shows a zoomed in region containing horizontally elongated cell588 nuclei that were classified as putative Merkel cells. Scale bar is 10 μ m. **f.** Longitudinal cross-

589 section through the image stack in panel e. Layers of tissue were identified based on DAPI

590 labeling: the mesenchymal sheath (MS), glassy membrane (GM) and outer root sheath (ORS).

591 The inner root sheath (IRS) was never labeled by DAPI. Scale bar is 100 μ m. **g.** Radial cross-

592 section through the image stack in panel e, demonstrating the same DAPI labeled layers as in

593 panel f. Scale bar is 20 μ m. **h.** Fixed and sectioned FSC tissue labeled with the membrane dye

594 5-hexadecanoylamino-fluorescein (H-110). The mouse was perfused and fixed while the

595 vibrissa was deflected in either the caudal (top) or rostral (bottom) directions. Note how the

596 vibrissa shaft buckles and bends in the region of the cavernous sinus only during rostral

597 deflections. Black arrows indicate the intrinsic sling muscle. Scale bars are 500 μ m.

598

599 **Figure 2. Measuring relative displacements and strain within the follicle-sinus complex.**

600 **a.** Cartoon of the vibrissa in a follicle-sinus complex. The Merkel cell dense region under study
601 is in red and green. **b, top.** Maximum projection of the raw image stacks acquired with the
602 vibrissa in its reference, rest, position (red) and when deflected 10° in the caudal direction
603 (green). R, M, and V indicate the rostral, medial, and ventral directions, respectively. Inset is a
604 magnified image of the enclosed region in white, demonstrating rigid rotation and translation of
605 individual DAPI labeled cell nuclei (yellow denotes overlap). **b, bottom.** Maximum projections of
606 radial sections along the longitudinal direction of the follicle. **c, top.** Maximum projection of the
607 same image stacks after rigid alignment of the deflected stack onto the reference stack through
608 a rigid transformation with six degrees of freedom. Inset is a magnified region (same as in panel
609 a) demonstrating remaining relative movements that cannot be corrected by the transformation
610 (red or green pixels). **c, bottom.** Maximum projections of radial sections along the longitudinal
611 direction of the follicle. **d.** Distribution of displacement vector magnitudes of individual pixels
612 from a single vibrissa deflection, computed from 3D cross-correlations between aligned image
613 stacks (see *Methods*). **e.** The coordinate system of pixel displacement vectors. Each pixel was
614 displaced in three directions, in a vibrissa-oriented coordinate system: radial (Δr) perpendicular
615 to the vibrissa shaft (red cylinder), polar ($\Delta\alpha$) about the axis of the vibrissa (red circle), and
616 longitudinal (Δl) along the axis of the vibrissa. DAPI labeled cells included in the analysis were
617 all located within a $90 - 100 \mu\text{m}$ thick annulus approximately bounded by the MS and ORS
618 (green cylinder with single planar imposed imposed on front surface; gray cells are outside the
619 included volume). **f.** Aligned and transformed image of DAPI labeled pixels included in analysis
620 of displacement and strain fields in a single experiment. The approximate extents of the Merkel
621 cell dense region (MDR) and ringwulst (RW) are indicated. Gray pixels indicate pixels in which
622 none or insufficient data was available to compute displacements. **g.** Displacement analysis of
623 10° vibrissa deflection in the caudal direction in a single experiment. Displacements of individual
624 pixels were transformed from Cartesian coordinates into cylindrical coordinates, and then
625 displacements in the radial, polar, and longitudinal directions were averaged across pixels in the
626 radial direction. Note that all displacement maps extend from approximately $-\pi/2$ to $\pi/2$, which
627 corresponds to the caudal and rostral aspects of the follicle respectively. *Left.* Radial
628 displacements, Δr , with positive (red/yellow) and negative (blue/white) corresponding to outward
629 and inwards displacements, respectively. *Middle.* Polar displacements, $\Delta\alpha$, with positive and
630 negative values indicating anterior and posterior rotation over the dorsal side of the vibrissa
631 shaft, respectively. *Right.* Longitudinal displacements, Δl , with positive and negative values
632 indicating inward and outward motion along the axis of the vibrissa, respectively. Displacement
633 field averages were smoothed with a square boxcar mean filter ($20 \mu\text{m}$ width/height). Insets

634 show the standard error computed across repetitions of the same deflection ($n = 3$ trials).

635

636 **Figure 3. Population analysis of displacement fields.** Radial, polar, and longitudinal
637 displacement fields were averaged across follicles by vibrissa deflection direction, *i.e.*, caudal or
638 rostral, and amplitude, *i.e.*, 10° or 20° . The number of deflection conditions varied between
639 experiments. Thus, the number of follicles included in each panel was as follows: caudal 10°
640 ($n = 7$); caudal 20° ($n = 4$); rostral 10° ($n = 5$); and rostral 20° ($n = 4$). Displacement field
641 averages were smoothed by a square mean filter ($20\ \mu\text{m}$ width/height). Vertical dashed lines
642 indicate the axis of the vibrissae. Scale bar is $100\ \mu\text{m}$.

643

644 **Figure 4. Population analysis of strain fields. a.** Raw DAPI fluorescence image aligned and
645 averaged across follicles and then transformed into cylindrical coordinates (see *Methods*). The
646 boundary between the ringwulst (RW) and Merkel cell dense region (MDR) is indicated by the
647 curved, solid white line. Vertical dashed line indicates the center axis of the vibrissa shaft (VS).
648 Scale bar is $100\ \mu\text{m}$. **b.** Strain fields were averaged across follicles, by vibrissa deflection
649 direction (caudal or rostral). The number of follicles included in each panel is as in **Figure 3**.
650 Strain field averages were smoothed by a square median filter across $100\ \mu\text{m}$. The cartoons
651 indicate the direction of deflection and the area (green) for which volume strains were
652 computed. **c.** Gradients of mean strain across diagonal quadrants in the follicle. Dashed lines
653 are individual follicles and solid lines are averages.

654

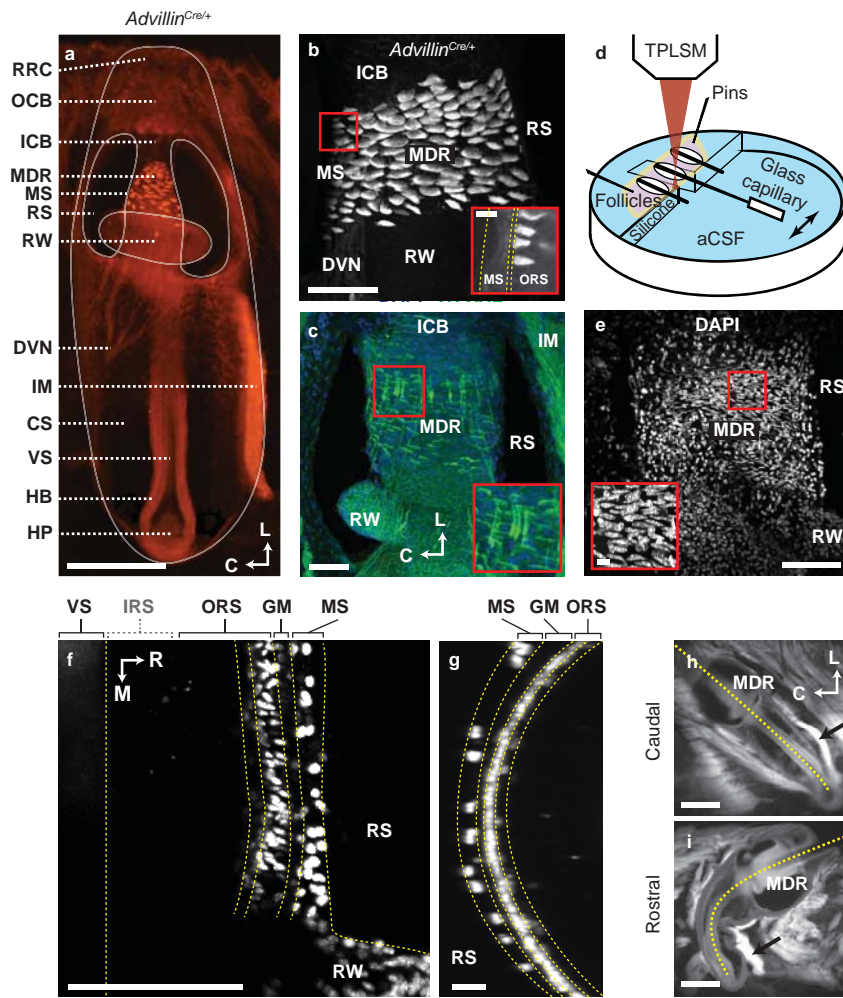


Figure 1. Whiteley, Knutsen, Matthews & Kleinfeld

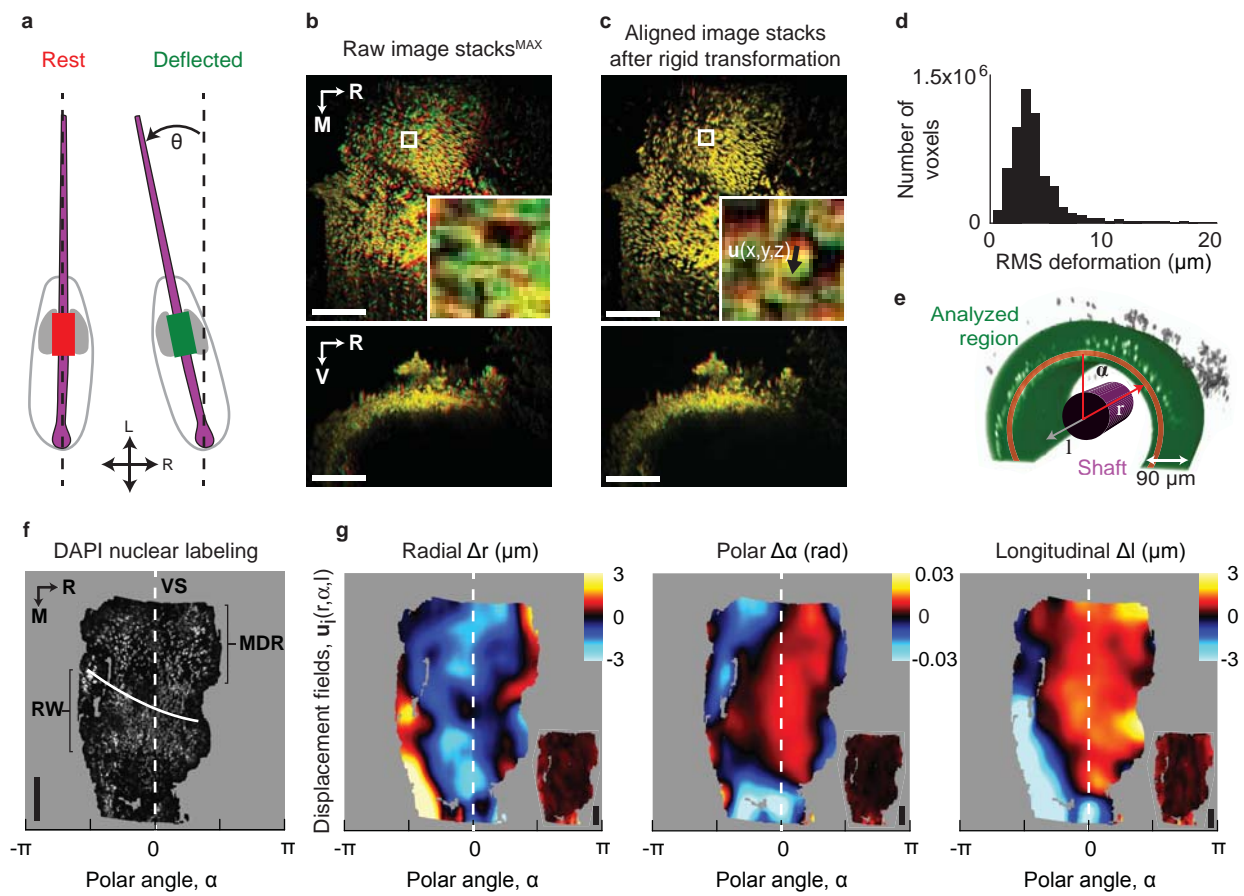


Figure 2r. Whiteley, Knutsen, Matthews & Kleinfeld

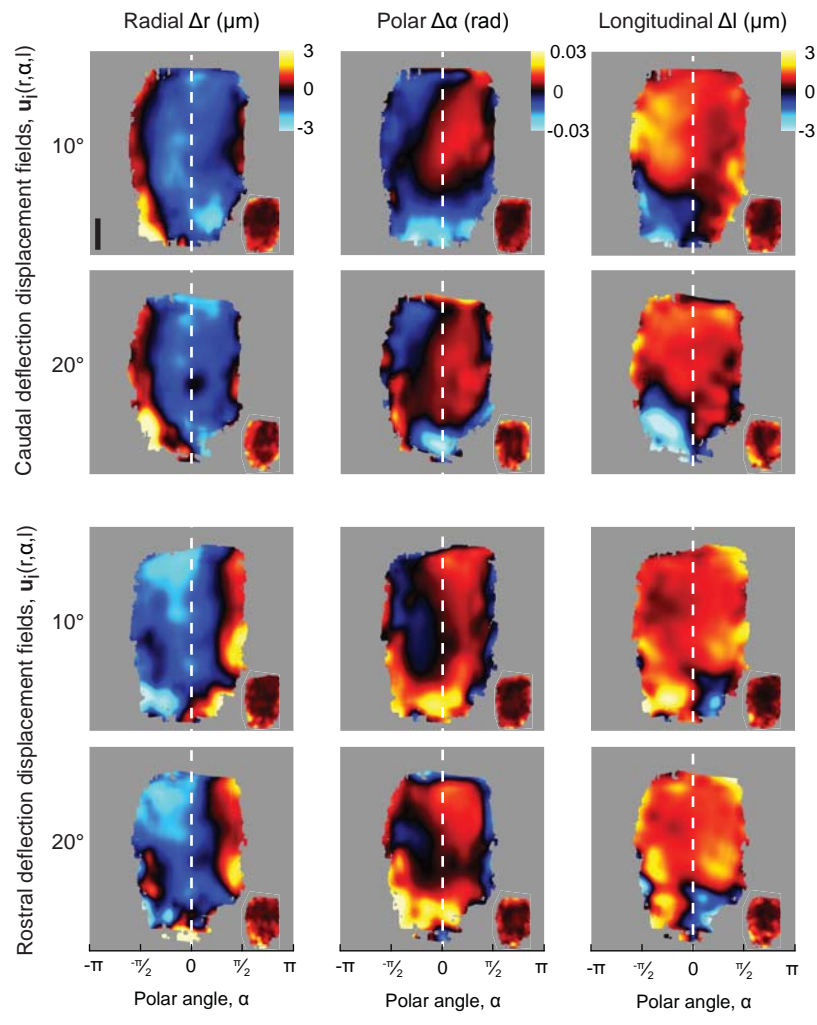


Figure 3. Whiteley, Knutsen, Matthews & Kleinfeld

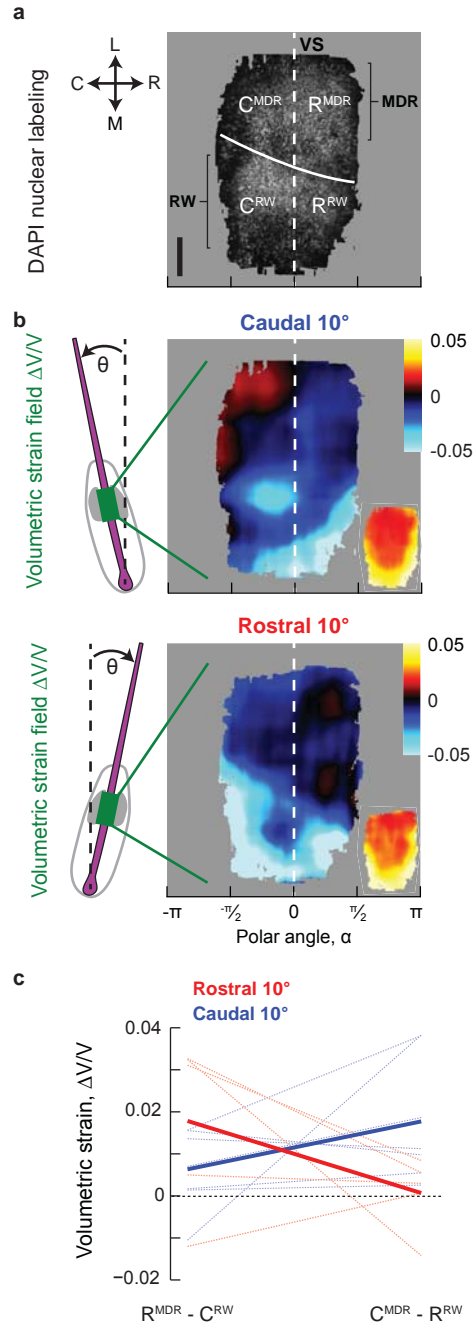


Figure 4r. Whiteley, Knutsen, Matthews & Kleinfeld